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**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No. A01472  
CMJ/sd

In re application of:  
Newman Bortnick et al : Confirmation No. 4916

Serial No.: 10/828,666 : Group Art Unit: 1714

Filed: April 21, 2004 : Examiner:

For: Composite Compositions Including Polymeric  
Nanoparticles and Clay Nanoparticles

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Supplemental Information Disclosure Statement  
List of Art Cited (Form PTO 1449)  
Cited Art  
Postcard

September 16, 2004  
Date

*Shelly Deane*  
Signature



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Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with applicants' duty of disclosure under 37 C.F.R. § 1.56(a) and pursuant to 37 C.F.R. § 1.97 - 1.98, Applicants submit herewith copies of the documents listed on the enclosed Form, PTO-1449 "List of Art Cited by Applicant." Inclusion of a document in this Information Disclosure Statement is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 C.F.R. § 1.56(a) exists.

The Examiner may find the enclosed documents relevant to the invention of the present application.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed on the enclosed Form, PTO-1449, is included herewith.

Enclosed is a two-page European Search Report for corresponding European Application Number EP 04 25 229 dated August 17, 2004.

CERTIFICATION

Check one of the following two paragraphs

- ☒ [X] The additional references cited herewith were cited in a communication from a non-US patent office regarding a non-US counterpart application not more than 3 months prior to the filing of this statement, or
- ☐ [ ] The additional references were not cited in such a communication and were not known to any individual having a duty of disclosure more than 3 months prior to the filing of this statement.

Respectfully submitted,



Andrew E. C. Merriam  
Attorney for Applicants  
Registration No. 47,268  
Telephone No.: 215-592-6758

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	1
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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### **Complete if Known**

Application Number	10/828,666
Filing Date	04/21/04
First Named Inventor	Newman M. Bortnick
Art Unit	1714
Examiner Name	Unknown
Attorney Docket Number	A01472

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		EP 1 371 693 A2	12/17/2003	Rohm&Haas Co.		
		EP 1 245 643 A2	10/02/2002	Rohm&Haas Co.		
		WO 02/070589 A2	09/12/2002	Southern Clay		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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